

Road coloring problem for completely reachability

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Deterministic finite automata

A **deterministic finite automaton** (DFA) is a triple $\mathcal{A} = (Q, \Sigma, \delta)$ where

- ▶ Q is a finite set, called the **state set**;
- ▶ Σ is a finite set, called the **input alphabet**;
- ▶ $\delta : Q \times \Sigma \rightarrow Q$ is a map, called the **transition function**.

Σ^* stands for the set of all words over Σ including the empty word ϵ . The function δ extends to a function $Q \times \Sigma^* \rightarrow Q$ (still denoted by δ) via the following recursion: For every $q \in Q$, we set

$$\begin{aligned}\delta(q, \epsilon) &= q \\ \delta(q, wa) &= \delta(\delta(q, w), a)\end{aligned}$$

for all $w \in \Sigma^*$ and $a \in \Sigma$.

To simplify the notation, we often write $q.w$ for $\delta(q, w)$ and $P.w$ for $\{\delta(q, w) : q \in P\}$, where $P \subseteq Q$.

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Completely reachable automata

Let $\mathcal{A} = (Q, \Sigma, \delta)$ be a DFA.

- ▶ A non-empty subset $P \subseteq Q$ is **reachable** in \mathcal{A} if $P = Q.w$ for some word $w \in \Sigma^*$.
- ▶ A DFA is **completely reachable** if every non-empty subset of its states is reachable.
- ▶ A DFA is **synchronizing** if there exists a reachable singleton set $\{x\} \subseteq Q$.

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Digraph

A digraph is a quadruple $G = (V, E, i, t)$ where V, E are non-empty sets and $i, t : E \rightarrow V$.

- ▶ The elements in V are called **vertices**;
- ▶ the elements of E are called **edges**;

for an edge $e \in E$,

- ▶ the vertex $i(e)$ is called the **initial vertex** of e ;
- ▶ the vertex $t(e)$ is called the **terminal vertex** of e .

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Neighbours and degrees

Let v be a vertex in a digraph G .

- ▶ The **out-neighbour** of v is the set $\{i(e) : t(e) = v, e \in E\}$, denoted $N_+(v)$.
- ▶ The **in-neighbour** of v is the set $\{t(e) : i(e) = v, e \in E\}$, denoted $N_-(v)$.
- ▶ For a subset $U \in V$,
 - ▶ write $N_+(U)$ for the set $\{u : N_+(u), u \in U\}$;
 - ▶ write $N_-(U)$ for the set $\{u : N_-(u), u \in U\}$.
- ▶ The **out-degree** of v is the number of edges whose initial vertex is v , denoted $d_+(v)$.
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Road colorings

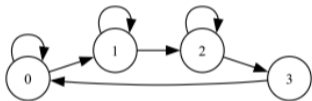
For a set X , write $\mathcal{P}(X)$ for the power set of X .

A **road coloring** of a finite digraph $G = (V, E, i, t)$ is a function $\alpha : E \rightarrow \mathcal{P}(\Sigma) \setminus \{\emptyset\}$ such that for every vertex $v \in V$, the family of sets

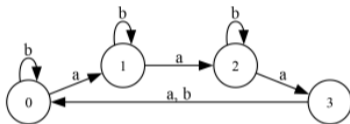
$$\{\alpha(e) : t(e) = v, e \in E\}$$

forms a partition of Σ .

Example



a digraph



a digraph with a road coloring

x	0	1	2	3
x.a	1	2	3	0
x.b	0	1	2	0

an automaton

Automata from road colorings

Let $\alpha : E \rightarrow \mathcal{P}(\Sigma) \setminus \{\emptyset\}$ be a road coloring of G .

Define $\mathcal{A}(G, \alpha)$ to the automaton (V, Σ, δ) such that for every $v \in V$ and $a \in \Sigma$,

$$v.a = t(e)$$

where e is the edge such that $i(e) = v$ and $a \in \alpha(e)$.

The road coloring α

- ▶ is called a **synchronizing coloring** if $\mathcal{A}(G, \alpha)$ is a synchronizing automaton;
- ▶ is called a **completely reachable coloring** if $\mathcal{A}(G, \alpha)$ is a completely reachable automaton.

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Road coloring problem

Problem

For a given digraph G , how to determine whether or not G admits a road coloring such that the corresponding automaton fulfills some properties (i.e., synchronizing, completely reachable, ...)?

Trahtman's Road Coloring Theorem

- ▶ The **period** of a strongly connected digraph G is the greatest common divisor of the lengths of its cycles, denoted $p(G)$.
- ▶ A digraph is called **aperiodic** if its period equals 1.

Theorem (Trahtman¹, 2009)

Let $G = (V, E, i, t)$ be a strongly connected digraph and $d = \max\{d_+(v), v \in V\}$.
The following are equivalent.

1. The digraph G admits a synchronizing coloring.
2. The digraph G admits a synchronizing coloring with d colors.
3. The digraph G is **aperiodic**.

¹A. N. Trahtman (2009). "The road coloring problem". In: *Israel J. Math.* 172, pp. 51–60. ISSN: 0021-2172,1565-8511.

Completely reachable colorings

Theorem (Z., 2023+)

A digraph $G = (V, E, i, t)$ admits a completely reachable coloring if and only if

- 1. G is strongly connected,*
- 2. G is aperiodic,*
- 3. for every subset $U \subseteq V$, $|U| \leq |N_-(U)|$.*

Theorem (Z., 2023+)

Let $k \geq 2$ be a fixed integer. To determine a given digraph $G = (V, E, i, t)$ whether or not it admits a completely reachable with k colors is NP-complete.

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Cyclic Decomposition Theorem

Theorem (Cyclic Decomposition Theorem)

Let G be a strongly connected digraph of period p . The vertex set can be partitioned into p sets $\{C_i : i \in \mathbb{Z}_p\}$ such that $N_+(C_i) = C_{i+1}$ for every $i \in \mathbb{Z}_p$.

Moreover, for each vertex $v \in C_j$ for some j , there exists a positive integer k such that

$$N_-^k(v) = \underbrace{N_-(\cdots N_-(v))}_k = C_j.$$

Hall's Marriage Theorem

A **bipartite graph** $H = (X, Y, E)$ is a triple, where X, Y are two nonempty sets and $E \subseteq X \times Y$.

The elements in $X \cup Y$ are **vertices** and the elements in E are **edges**. A **X -perfect matching** of H is a matching, a set of disjoint edges, which covers every vertex in X . For $U \subseteq X$, the **neighborhood** of U is the set $\{w : (u, w) \in E, u \in U\}$, denoted $N(U)$.

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The proof

Theorem (Z., 2023+)

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- 2. G is aperiodic,*
- 3. for every subset $U \subseteq V$, $|U| \leq |N_-(U)|$. (Hall's condition)*

The proof

“ \Rightarrow ”:

- ▶ Let α be a completely reachable coloring of G and its color set is Σ . The corresponding automaton is $\mathcal{A}(G, \alpha) = (V, \Sigma, \delta)$.
- ▶ For every two vertices u, v , by complete reachability, there exists a word w such that $V.w = \{u\}$ and then $\delta(v, w) = u$. Then there exists a walk in G from v to u . This implies that G is **strongly connected**.
- ▶ By Cyclic Decomposition Theorem, the vertex set V can be partitioned into p sets $\{C_i : i \in \mathbb{Z}_p\}$ such that $N_+(C_i) = C_{i+1}$ for every $i \in \mathbb{Z}_p$.
- ▶ Then for any word $w \in \Sigma^*$ and $i \in \mathbb{Z}_p$, $V.w \cap C_i \neq \emptyset$. Since every singleton set is reachable, we have $p = 1$ and then G is **aperiodic**.
- ▶ For a non-empty subset $U \subseteq V$, take a word $w = w'a \in \Sigma^*$ such that $V.w = U$. Let W be the set $V.w'$. Then

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The proof, cont'd

“ \Leftarrow ”:

- ▶ Define H to be the bipartite graph $H = (V_1, V_2, E_H)$ such that $V_1 = V_2 = V$ and $(u, v) \in E_H$ if there exists $e \in E$ such that $t(e) = u$ and $i(e) = v$.
- ▶ Observe that for every non-empty subset $U \subseteq V_1$, then $|U| \leq |N(U)|$.

Let W be a non-empty subset of V_1 . Let H' be the induced subgraph of H on $W \cup N(W)$. By the Hall's Marriage Theorem, there exists a W -perfect matching M in H' .

Now we can define a function $f_W : V_2 \rightarrow V_1$ as following:

1. for $y \in V_2$ which is covered by edge $(x, y) \in M$, set $f_W(y) = x$;
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- ▶ Define H to be the bipartite graph $H = (V_1, V_2, E_H)$ such that $V_1 = V_2 = V$ and $(u, v) \in E_H$ if there exists $e \in E$ such that $t(e) = u$ and $i(e) = v$.
- ▶ Observe that for every non-empty subset $U \subseteq V_1$, then $|U| \leq |N(U)|$.

Let W be a non-empty subset of V_1 . Let H' be the induced subgraph of H on $W \cup N(W)$. By the Hall's Marriage Theorem, there exists a W -perfect matching M in H' .

Now we can define a function $f_W : V_2 \rightarrow V_1$ as following:

1. for $y \in V_2$ which is covered by edge $(x, y) \in M$, set $f_W(y) = x$;
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3. for $y \in V_2 \setminus N(W)$, set $f_W(y)$ to be an arbitrary vertex in $N(y)$.

It is clear that $W = f_W(N(W))$.

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It is clear that $W = f_W(N(W))$.

The proof, cont'd

Now we construct a road coloring $\alpha : E \rightarrow \mathcal{P}(\Sigma)$, where $\Sigma = \mathcal{P}(V) \setminus \{\emptyset\}$ by setting

$$\alpha(e) = \{U : f_U(t(e)) = i(e), \emptyset \neq U \subseteq V\}.$$

Let $\mathcal{A} = (V, \Sigma, \delta) = \mathcal{A}(G, \alpha)$. Note that for every non-empty subset U , we have

$$\delta(N_-(U), U) = U.$$

- ▶ Let U_0 be an arbitrary non-empty subset of V , define $U_i = N_-(U_{i-1})$ for all positive integer i .
- ▶ Since G is strongly connected and aperiodic, by Cyclic Decomposition Theorem, there exists an integer k such that $U_k = V$.
- ▶ Then $U_0 = \delta(V, U_{k-1}U_{k-2} \cdots U_1U_0)$ is reachable.
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Theorem (Z., 2023+)

Let $k \geq 2$ be a fixed integer. To determine a given digraph $G = (V, E, i, t)$ whether or not it admits a completely reachable with k colors is NP-complete.

Proof for $k = 2$:

- ▶ Let $G = (V, E, i, t)$ be a digraph such that
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- ▶ Then G admits a completely reachable coloring if and only if G has a hamiltonian cycle (a directed cycle visits each vertex once).
- ▶ To determine whether or not such a given graph G has a hamiltonian cycle is NP-complete. (Our proof is obtained from the proof in Plesnik's paper² with some small modification.)

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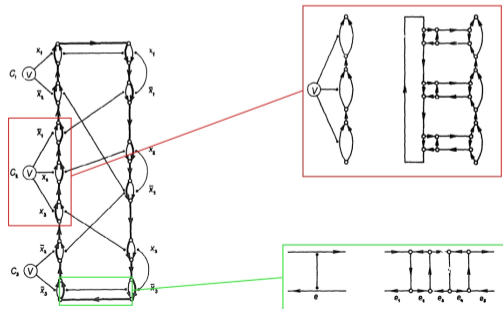
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Plesnik's Reduction

- ▶ Reduce from the 3-SAT problem.
- ▶ Construct a digraph G for a given boolean formula F . Vertices of G have in-degree and out-degree at most 2.
- ▶ G has a hamitonian cycle if and only if F is satisfiable.
- ▶ The following figure is the digraph corresponding to $F = (x_1 \vee \bar{x}_2) \wedge (\bar{x}_1 \vee x_2 \vee x_3) \wedge (\bar{x}_2 \vee \bar{x}_3)$.



Open problems

Let $f : \mathbb{N} \rightarrow \mathbb{N}$.

1. For a given digraph G with n vertices, is there a polynomial-time algorithm to determine whether G admits a completely reachable coloring which uses $f(n)$ colors?
2. For a given digraph G which admits a completely reachable coloring, can we find one completely reachable coloring in polynomial time?

Thank you

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